

**Corso Professionalizzante di Specializzazione (3 CFU)**

Ingegneria dell'Informazione o magistrale in Ingegneria Informatica  
Automatica, Ingegneria Elettronica,  
Ingegneria delle Telecomunicazioni

# **WSN and VANET Security**

## **Part II: Techniques for WSN and VANET Security**

Lecture II.1

Passive Security Functions: Mathematical Background

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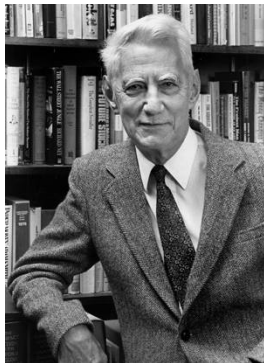
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# Kerckhoffs' Principle

- The dutch cryptographer A. Kerckhoffs (1835-1903) stated the design principles for military ciphers (*La Cryptographie Militaire, 1883*)
- **Kerckhoffs' principle:** «A cryptosystem should be secure even if everything about the system, except the key, is public knowledge, and it should not be a problem if it falls into enemy hands».
- This in contrast to **security through obscurity.**
- Kerckhoffs viewed cryptography as a better alternative than **steganographic encoding**, which was common in the nineteenth century for hiding the meaning of military messages.
- The american mathematician and engineer C. E. Shannon (1916 – 2001) has been the father of Information Theory and the first to guess that security was a matter from information theory (information theoretic security).



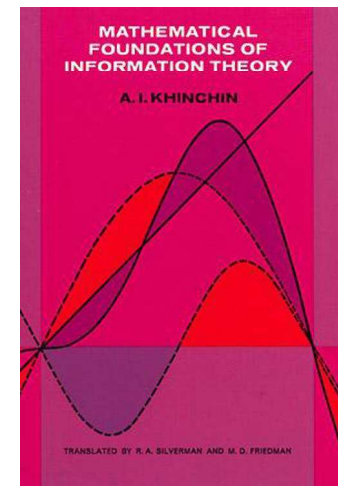
C. E. Shannon, **A Mathematical Theory of Communication**  
*Bell System Technical Journal*, vol. **27** (3): 379–423, July 1948  
<http://www.essrl.wustl.edu/~jao/itrg/shannon.pdf>

C. E. Shannon, **Communication Theory of Secrecy Systems**  
*Bell System Technical Journal*, vol. **28** (4): 656–715, October 1949  
<http://netlab.cs.ucla.edu/wiki/files/shannon1949.pdf>



### Claude Elwood Shannon, 1916-2001

The sovietic mathematician **Aleksandr Jakovlevič Chinčín** (1894-1957) with his **Mathematical foundations of information theory**, gave the first comprehensive introduction to information theory, places the work begun by Shannon and continued by McMillan, Feinstein on a rigorous mathematical basis. Chinčín develops the concept of **entropy** in probability theory **as a measure of uncertainty** of a finite scheme, and discusses a simple application to coding theory, and investigates the restrictions previously placed on the study of sources, channels, and codes and attempts “to give a complete, detailed proof of both Shannon theorems, assuming any ergodic source and any stationary channel with a finite memory.”



A compendium of C.E. Shannon and A.J. Chinčín's masterworks with application to cryptography can be found in:  
**M. Pugliese, Fundamentals of Information Theory with Application to Cryptography - Summary from the lessons by C.E. Shannon and A.Y. Khinchin**, v.3.4, 2021, <https://mpugliese.webnode.it/scientific-contributions/>

- Classical **entropy** is a measure of disorder in a system: disorder refers to the uncertainty about the determination of the hidden particular micro-configurations that correspond to the observable macro-configuration. **Shannon entropy  $H(P)$**  is a measure of uncertainty about a discrete stochastic process  $P$ :  
 $H(P) = -\sum_p pr(p) \log_2(pr(p))$  where  $p$  is a **determination of the process  $P$**  and  $pr(p)$  the probability of that determination where  $0 < pr(p) \leq 1$ .
- **Shannon-Chinčín : information corresponds to uncertainty, i.e.  $I(P) = H(P)$ .**
- Determinations from a **known** process  $P$  (then  $pr(p)=1$ ) imply  **$H(P) = 0$** , i.e. **no uncertainty about  $P$** , and imply  **$I(P) = H(P) = 0$** , i.e. **no added information about  $P$** .
- **Conditional Shannon entropy  $H(P|Q)$**  is a measure of the uncertainty about the process  $P$  once known a determination of another process  $Q$ .  
 $H(P|Q) = -\sum_{p,q} pr(p|q) \log_2(pr(p|q))$  where  $pr(p|q) = pr(p,q)/pr(q)$  (Bayes)  
Therefore  **$H(P|Q) = H(P,Q) - H(Q)$** ;  
Iff  $P$  and  $Q$  are **statistically independent** then  **$H(P|Q) = H(P)$** , hence  $H(P,Q) = H(P) + H(Q)$ . Otherwise  **$H(P|Q) < H(P)$** , hence  $H(P,Q) < H(P) + H(Q)$ .
- **$I(P;Q) = H(P) - H(P|Q) \geq 0$** : defines the information about  $P$  with  $Q$  given by the uncertainty about  $P$  **without** the knowledge of  $Q$  reduced by the uncertainty about  $P$  **with** the knowledge of  $Q$ . Iff  $P$  and  $Q$  are **statistically independent** then  **$I(P;Q) = 0$** .

- Shannon entropy is measured in the unit “**bit**”.
- Shannon introduced the operator  $\log_2()$  (log base 2) in the definition of entropy for a direct application to digital communications: two logic states or **bits** 0 / 1 associated to two electronic states.
  - In a (honest) coin tossing process, the determinations of coin faces are **equiprobable and statistically independent** ( $p_H=0,5$   $p_T=0,5$ )
  - Which is the entropy associated to a coin tossing (ct) process?  
 $H(ct) = -(p_H \log_2(p_H) + p_T \log_2(p_T)) = -2(1/2) \log_2(1/2) = \mathbf{1 \text{ bit}}$ .
- If the “**emission of a random sequence of binary digits**” process is statistically equivalent to a “coin tossing” process: the generated bitstream is a **random sequence** and the **entropy of the process = 1 bit / binit**.
- Truly random bitstreams cannot be inherently generated by whatever **deterministic** algorithms or process even in the case of high entropy seed (only a stochastic algorithm or process can generate truly random bitstreams. Therefore only **pseudorandom bitstreams** can be available (entropy < 1 bit / binit).
- Given a generic stochastic process P, the **upper bound** for H(P) is  $\log_2 |P|$  which corresponds to the entropy if P were a random process:  
 $H(P) \leq \log_2 |P|$  where  $\log_2 |P| = -\sum_{|P|} (1/|P|) \log_2(1/|P|)$  by setting  $pr(p)=1/|P|$ .

- A function is **computationally infeasible** if its time complexity is **more than polynomial time (e.g. sub-exponential or exponential time)**: an algorithm is polynomial time (or has polynomial time complexity) if for some  $m, C > 0$ , its running time (dependent on the available computational resource) on inputs of size  $n$  is at most  $Cn^m$  or, equivalently, an algorithm is polynomial if for some  $m > 0$  its running time on inputs of size  $n$  is  $O(n^m)$ .
- The value of the “input size” depends on the nature of the problem: in the case of cryptosystems, size  $n$  is the order of the reference finite field.
- A function  $f(x)$  is **one-way** (or **surjective** or **many-to-one** or **with collisions**) if complexity of  $y = f(x)$  is **polynomial time** and  $x = f^{-1}(y)$  is **computationally infeasible**.
- Given  $k$ , a function  $f_k(x)$  is **one-way** if complexity of  $y = f_k(x)$  is **polynomial time** and  $x = f_k^{-1}(y)$  is **computationally infeasible if  $k$  unknown** or **polynomial time if  $k$  known**.  $x = f_k^{-1}(y)$  is also denoted as the **reverse cryptographic problem**.
- The reverse function  $x = f^{-1}(y)$  or  **$f_k^{-1}(y)$  with  $k$  unknown** are **palindrome** (or **one-to-many**) and **spurious solutions** can result.
- The reverse function  **$f_k^{-1}(y)$  with  $k$  known** is **invertible** (or **one-to-one**).
- Many cryptographic functions are one-way functions: e.g. cryptographic secure pseudo random generators, RSA encryption / decryption function, block ciphers, discrete logarithm problem, hash function, square root, ...

Let P the process “**emission of a sized sequence of binary digits**” and C the process “**computation of  $C=f_k(P)$** ” where  $f_k()$  is a one-way function and k is the key.

- **Perfect (or unconditional) Secrecy:** the uncertainty on plain-text is not reduced by the observation of the related cipher-text.

Once known the cipher-text, the uncertainty of the plain-text **is equal to** the uncertainty of the plain-text unknown the cipher-text (P and C are statistically independent)

$$H(P | C) = H(P)$$

Therefore **no information** is gained from the knowledge of the cipher-text.

$$I(P; C) = H(P) - H(P | C) = 0$$

- **Realistic (or conditional) Secrecy:** if the uncertainty on plain-text is reduced by the observation of the related cipher-text.

Once known the cipher-text, the uncertainty of the plain-text **is less than** the uncertainty of the plain-text unknown the cipher-text.

$$H(P | C) < H(P)$$

Therefore **some bit of information** is gained from the knowledge of the cipher-text.

$$I(P; C) = H(P) - H(P | C) > 0$$

- Let  $K, P, C$  be instances of the same process “**emission of a sized sequence of binary digits**”.
- Let  $|K|, |P|, |C|$  be the **number** of sized sequences of binary digits (bistrings) that  $K, P, C$  can emit.
- Let  $\text{len}(K) = \log_2 |K|, \text{len}(P) = \log_2 |P|, \text{len}(C) = \log_2 |C|$  be the lengths of the generic sized sequence of binary digits that  $K, P, C$  can emit.
- Let  $k \in \{0,1\}^{\text{len}(K)}, p \in \{0,1\}^{\text{len}(P)}, c \in \{0,1\}^{\text{len}(C)}$  be generic sized sequences emitted by  $K, P, C$ . A bistring emitted by  $P$  and  $C$  are also called **block** or **gram**.
- Let  $e_k() \in E$  be an encryption **one-way function** with key  $k$  such that for  $\forall p \in P$  and any  $k$  is  $c = e_k(p)$ .

Shannon in his “Communication Theory of Secrecy Systems”, introduced the following fundamental theorem:

- **Theorem on Perfect Secrecy:** suppose a cryptosystem where  $|K| = |C| = |P|$ . Then the cryptosystem provides **perfect secrecy** if and only if any key  $k$  is used with equal probability  $1/|K|$  and  $\forall p \in P$ , there exists a **unique key**  $k \in K$  and  $c \in C$  such that  $e_k(p) = c$ . Therefore for  $\forall p \in P$  and any  $k \neq k'$  is  $e_k(p) \neq e_{k'}(p)$ .



Suppose a “brute force” attacker is observing a transmitted ciphertext.

- **Theorem on Key Equivocation**: the amount of uncertainty (or equivocation) on the key that remains after knowing the cipher-text, indicated with  $H(K|C)$ , is given by:

$$H(K|C) = H(P) + H(K) - H(C)$$

- **Key Equivocation is a performance index for a cryptosystem: it should be as larger as possible**. Be  $C_n$  the  $n$ -th cipher-text block ( $n$ -gram) observed by the attacker:
- Upper bound is  $H(K|C_n) = H(K)$  as  $H(C_n)_{\min} = H(P_n)$ .
- **Lower bound** is  $H(K|C_n) = H(K) - nR_p \log_2 |P|$  where  $R_p$  is the redundancy of the plain-text:

$$R_p = 1 - \frac{H(P)}{\log_2 |P|}$$

For large  $n$ , if  $R_p \rightarrow 0$  then  $H(K|C_n) \rightarrow H(K)$ , hence Key Equivocation gets its upper bound.

- To a given Key Equivocation  $H(K|C)$  corresponds a set of keys (denoted as **Spurious Keys**) for which the cipher-text can be deciphered in multiple plain-texts (remember that an encryption function with unknown key is one-to-many) excepting the legitimate ciphering key.

Let  $s_n$  be the **expected number of spurious keys** corresponding to the  $n$ -th cipher-text block observed by an attacker, Shannon showed that:

$$s_n = \frac{|K|}{|P|^{nR_p}} - 1$$

**With increasing  $n$ , Spurious Keys reduce.**

- It is important to determine the **minimum  $n_0$**  for which the (expected) number of spurious keys should be **zero** (only the legitimate key is expected to remain).

**Observation:** an attacker should record at least  $n_0$  bits of cipher-text to expect to solve **univocally** the cryptographic reverse problem on that cipher-text shall produce the only legitimate key. Therefore:  **$n_0$  should be as larger as possible.**

- The number  $n_0$  is called **Unicity Distance**.
- Let impose  $s_n = 0$  for  $n = n_0$

$$n_0 = \frac{\log_2 |K|}{R_p \log_2 |P|}$$

If  $R_p \rightarrow 0$  and/or if  $|K| \gg |P|$ , then  $n_0$  gets larger.

- Therefore reduced redundancy (high compressions) and large space key enhance communication robustness **from a cybersecurity viewpoint**.
  - The object of coding is designing efficient and reliable data transmission methods. This typically involves the removal of redundancy (**source coding**) and the correction / detection of errors in the transmitted data (**channel coding**) to enhance communication robustness **from a noisiness viewpoint ...**
  - ... but correction / detection of errors introduces some code redundancy!
- Need to find a balance.**

- **Modular Arithmetic**
- Generating Prime Numbers
- Generating Pseudo-random Numbers
- Elliptic Curve Algebra
- Discrete Logarithm Problem and its EC version
- Pairings on Elliptic Curves
- Zero Knowledge Proof

- A **finite group**  $G(n, \circ)$  is a set of  $n$  elements and one operation symbolically denoted with  $\circ$ .
- Operation  $\circ$  satisfies four group axioms: **closure, associativity, identity (0) and invertibility**.
  - **closure:**  $\forall a, b \in G / a \circ b \in G$
  - **associativity:**  $\forall a, b, c \in G / a \circ (b \circ c) = (a \circ b) \circ c$
  - **identity:**  $\forall a \in G: \exists! \mathbf{0}$  (zero) /  $a \circ \mathbf{0} = a$   
 $\mathbf{0}$  = identity respect to  $\circ$
  - **invertibility:**  $\forall a \in G: a \circ (-a) = \mathbf{0}$
- If also **commutativity** then the group is **abelian**.
  - **closure:**  $\forall a, b \in G / a \circ b = b \circ a \in G$
  - **associativity:**  $\forall a, b, c \in G / a \circ (b \circ c) = (a \circ b) \circ c = (b \circ c) \circ a = b \circ (c \circ a)$
  - **identity:**  $\forall a \in G: \exists! \mathbf{0}$  (zero) /  $a \circ \mathbf{0} = \mathbf{0} \circ a = a$   
 $\mathbf{0}$  = identity respect to  $\circ$
  - **invertibility:**  $\forall a \in G: a \circ (-a) = (-a) \circ a = \mathbf{0}$

- **Order of a finite group** or  $\text{ord}(G)$  = the number of elements of a finite group
- **Order of an element  $a$  of a finite group:**  $\text{ord}(a)=n$  if  $n$  is the smallest integer such that  $a \circ a \circ \dots$  (  $n$  times)  $\dots \circ a = \mathbf{0}$ .
- A group  $G(n, \circ)$  is a **cyclic group** if all  $n$  elements **can be generated from a single element by applying iteratively the defined operation  $\circ$** .
  - This element is called **base element (or generator)** of the group respect to the operation  $\circ$ .
  - The **order of a cyclic group**, is also called the **order of the generator**.
- A **subgroup** of a group is a subset of the elements of the group for which still holds the definition of group. The number of elements of a subgroup determines **the order of the subgroup**.
- A **cyclic subgroup** of a cyclic group is a subset of the elements of the cyclic group for which still holds the definition of cyclic group. The number of elements of a cyclic subgroup determines **the order of the cyclic subgroup**.

- Suppose  $G(10, +)$  additive abelian group of integers  $0, 1, 2, \dots, 9$ . Let  $a, b \in G$ .
- Operator  $+$  is defined as follows:  $a + b = \text{remainder of } (a+b)/n \quad (a + b \bmod n)$
- Is  $G$  a cyclic group? Yes, because:
  - 1**,  $1+1=2$ ,  $2+1=3$ ,  $3+1=4$ ,  $4+1=5$ ,  $5+1=6$ ,  $6+1=7$ ,  $7+1=8$ ,  $8+1=9$ ,  $9+1=0$ : **1** is a generator
  - 3**,  $3+3=6$ ,  $6+3=9$ ,  $9+3=2$ ,  $2+3=5$ ,  $5+3=8$ ,  $8+3=1$ ,  $1+3=4$ ,  $4+3=7$ ,  $7+3=0$ : **3** is a generator
  - 7**,  $7+7=4$ ,  $4+7=1$ ,  $1+7=8$ ,  $8+7=5$ ,  $5+7=2$ ,  $2+7=9$ ,  $9+7=6$ ,  $6+7=3$ ,  $3+7=0$ : **7** is a generator
  - 9**,  $9+9=8$ ,  $8+9=7$ ,  $7+9=6$ ,  $6+9=5$ ,  $5+9=4$ ,  $4+9=3$ ,  $3+9=2$ ,  $2+9=1$ ,  $1+9=0$ : **9** is a generator
- In general for an additive cyclic group order  $n$ , the element  $k$  is a generator iff  $\text{gcd}(k,n)=1$ , or  $k$  and  $n$  are co-primes; if  $\text{gcd}(k,n)>1$  then  $k$  is a generator of a subgroup of order  $n/\text{gcd}$ ; the number of subgroups is equal to the number of divisors of the group order  $n$ .
- Therefore:  $1,3,7,9$  are generators of  $G(10,+)$ ; 2 subgroups say  $A$  and  $B$  order 5 and 2;  $2,4,6,8$  are generators of subgroup  $A$  and  $5$  is generator of subgroup  $B$ .

$\text{gcd}(2,10)=2$ ; order =  $10/2=5$

$\text{gcd}(4,10)=2$ ; order =  $10/2=5$

$\text{gcd}(5,10)=5$ ; order =  $10/5=2$

$\text{gcd}(6,10)=2$ ; order =  $10/2=5$

$\text{gcd}(8,10)=2$ ; order =  $10/2=5$

- If  $n$  prime, any element in  $G$  is generator of  $G$  because  $\text{gcd}(\forall k,n)=1$ , **no subgroups** because  $n$  has no divisors ( $n$  is prime).

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 |
| 2 | 4 | 6 | 8 | 0 | 2 | 4 | 6 | 8 | 0 |
| 3 | 6 | 9 | 2 | 5 | 8 | 1 | 4 | 7 | 0 |
| 4 | 8 | 2 | 6 | 0 | 4 | 8 | 2 | 6 | 0 |
| 5 | 0 | 5 | 0 | 5 | 0 | 5 | 0 | 5 | 0 |
| 6 | 2 | 8 | 4 | 0 | 6 | 2 | 8 | 4 | 0 |
| 7 | 4 | 1 | 8 | 5 | 2 | 9 | 6 | 3 | 0 |
| 8 | 6 | 4 | 2 | 0 | 8 | 6 | 4 | 2 | 0 |
| 9 | 8 | 7 | 6 | 5 | 4 | 3 | 2 | 1 | 0 |

- An abelian **finite field** (or Galois field)  $GF(G, *)$  extends a finite abelian additive group  $G(n, +)$  by adding a further operation  $*$  and the further group axiom **distributivity**:
  - **closure**:  $\forall a, b \in GF / a + b \in GF, a * b \in GF$
  - **associativity**:  $\forall a, b, c \in GF / a + (b + c) = (a + b) + c, a * (b * c) = (a * b) * c$
  - **identity**:  $\forall a \in GF: \exists! \mathbf{0}$  (zero) /  $a + \mathbf{0} = a, \exists! \mathbf{1}$  (one) /  $a * \mathbf{1} = a$   
 $\mathbf{0}$  = additive identity,  $\mathbf{1}$  = multiplicative identity
  - **invertibility**:  $\forall a \in GF: a + (-a) = \mathbf{0}, a * (a^{-1}) = \mathbf{1}$
  - **distributivity** of  $*$  respect to  $+$ :  $\forall a, b, c \in GF / (a + b) * c = (a * c) + (b * c)$
- **Characteristic of GF** or  $\text{char}(GF)$ :  $\text{char}(GF) = k$  if  $k$  is the smallest integer such that  $\mathbf{1} + \mathbf{1} + \dots$  (  $k$  times)  $\dots + \mathbf{1} = \mathbf{0}$ , otherwise  $\text{char}(GF) = 0$ .
- **Order of a finite field** or  $\text{ord}(GF) =$  the number of elements of a finite field.
- A finite field of order  $q$  exists **if and only if the order is  $q = p^k$**  where  **$p$  is a prime** and  **$k$  is a positive integer**. Therefore we can only have  $GF(p), GF(p^2), \dots, GF(p^k)$ .
- $GF(p)$  is denoted as “ordinary”  $GF$ ,  $GF(p^k)$  are denoted as “Galois field extensions”
- $\text{Char}(GF(q = p^k)) = p$
- Let's start with  $GF(p)$



Given  $a, b \in GF(p)$ , define the operations  $+$  and  $*$  as follows:

- The **addition (+)** is defined as the remainder of  $(a+b)/p$  ( $a + b \bmod p$ )
- The **product (\*)** is defined as the remainder of  $(a*b)/p$  ( $a * b \bmod p$ )
- The **additive inverse (opposite)** of  $a$  (indicated with  $-a$ ) is defined as  $p-a$
- The **multiplicative inverse** of  $a$  (indicated with  $a^{-1}$ ) is
  - computed using the Extended Euclidean Algorithm.
  - computed using the Fermat Little Theorem.
- It can be shown that non-zero elements of an **ordinary** Galois field form a multiplicative cyclic group.
- Let us search for generators of  $GF(p)$ .
- It can be shown that given  $g \in GF(p)$ , then  **$g$  is a generator of  $GF(p)$**  if  $g^{(p-1)/q} \neq 1$  where  $q$  is a prime divisor of  $p-1$ .

E.g.  $p=7$ : prime divisors of  $6 (=7-1)$  are  $q=2$  and  $q=3$ .

$g=3$  and  $g=5$  are generators because  $3^3 \neq 1$ ,  $3^2 \neq 1$  and  $5^3 \neq 1$ ,  $5^2 \neq 1$ .

$g=3$ :  $3^1=3$ ,  $3^2=2$ ,  $3^3=6$ ,  $3^4=4$ ,  $3^5=5$ ,  $3^6=1$

$g=5$ :  $5^1=5$ ,  $5^2=4$ ,  $5^3=6$ ,  $5^4=2$ ,  $5^5=3$ ,  $5^6=1$

- The Euclidean Algorithm computes the “*greatest common divisor*” (gcd) between a couple of integers a and b.
- The *Extended* Euclidean Algorithm computes the “*greatest common divisor*” (gcd) between a couple of integers a and b, and computes the coefficients x and y of the so called “Bézout's identity”:

$$ax+by = \gcd(a,b)$$

- If  $b=p$  ( $p$  prime), then a and p are co-primes, thus  $\gcd(a,p)=1$ :

$$ax+py = 1$$

- It can be easily shown by applying **modulo** p in both terms, and being  $py \bmod p = 0 \forall y$ , we get  $ax = 1 \bmod p$ .
- Therefore x is the modular multiplicative inverse modulo p of a

$$x = a^{-1} \bmod p.$$

**Theorem:** given  $p$  prime in  $GF(p)$ ,  $\forall a \neq 0$  is

$$a^{(p-1)} \bmod p = 1$$

Corollaries:

- Multiplying by  $a$  both terms is  $a^p \bmod p = a$  (cyclicity).
- Multiplying by  $a^{-1}$  both terms is  $a^{(p-2)} \bmod p = a^{-1}$  (inverse).

- Example in  $GF(7)$ :  $\forall a \neq 0$ 
  - $a^6 \bmod 7 = 1$
  - $a^7 \bmod 7 = a$
  - $a^{-1} \bmod 7 = a^5 \bmod 7$
- Therefore inversion through exponentiations.
- Exponentiation is energy and time consuming: these are some algebraic [tricks](#) (e.g. Square and Multiply algorithm) to minimize computations.
- Generally the Extended Euclidean Algorithm to be preferred in terms of complexity.

- $GF(p^n)$  extends  $GF(p)$  and is an abelian cyclic group with  $p$  prime,  $n$  integer.
- Note that  $p^n$  is never prime.
- **Elements in  $GF(p^n)$  are  $p^n$  polynomials degree up to  $n-1$  with  $n$  coefficients in  $GF(p)$ :** therefore elements in  $GF(p^n)$  are  $p^n$   $n$ -plas in  $GF(p)$  and  $\text{Char}(GF(p^k)) = p$ .
- **Special case  $p = 2 \rightarrow GF(2^n)$ :** coefficients in  $GF(2)$ , i.e. booleans.

E.g.  $GF(2^3)$ : 8 elements: **0, 1, x, x+1, x<sup>2</sup>, x<sup>2</sup>+1, x<sup>2</sup>+x, x<sup>2</sup>+x+1**

8 3-plas: **000, 001, 010, 011, 100, 101, 110, 111**

For  $GF(2^n)$ :  $2^n$   $n$ -plas (all combinations of 2 elements in groups of  $n$ ).

**Easy construction of  $G(p^n)$ : any bit string size  $n$  is an element in  $GF(p^n)$**

- **Irreducible polynomial:** a polynomial  $p(x)$  degree  $n$  divisible only by 1 and by itself. It is used for congruences (the same as mod  $p$  in  $GF(p)$ ).  
E.g.  $GF(2^3)$ : an irreducible polynomial is  $p(x)=x^3+x+1$ , notation is  $GF(2^3)/x^3+x+1$ .  
E.g.  $GF(2^8)$ :  **$GF(2^8)/x^8+x^4+x^3+x+1$**  (Rijndael polynomial in AES).
- Computing irreducible polynomials is an advanced topic (Artin–Schreier theory).

**Operations in  $GF(2^n)$  as well as operations with bit strings size  $n$  correspond to congruence operations between polynomials degree up to  $n-1$ .**

Operations on polynomials in  $GF(p^n)$  corresponds to operations on their coefficients in  $GF(p)$ . Given  $a, b \in GF(p^n)$ , define the operations  $+$  and  $*$  as follows:

- The **addition** ( $+$ ) is defined as  $a+b$
- The **product** ( $*$ ) is defined as the remainder of  $(a*b)/p(x)$  ( $a * b \bmod p(x)$ )
- The **additive inverse (opposite)** of  $a$  (indicated with  $-a$ ) is defined as the polynomial where each coefficient is the additive inverse in  $GF(p)$
- The **multiplicative inverse** of  $a$  (indicated with  $a^{-1}$ ) is
  - computed using the Polynomial Extended Euclidean Algorithm.
  - computed using the Fermat Little Theorem.
- It can be shown that non-zero elements of an **extended** Galois field form a multiplicative cyclic group.
- Let us search for generators of  $GF(p^n)$ .
- It can be shown that given  $g \in GF(p^n)$ , then  **$g(x)$  is a generator of  $GF(p^n)$**  if  $g^{(p^n-1)/q} \neq 1$  where  $q$  is a prime divisor of  $p^n-1$ .  
E.g.  **$p=2$** ,  $n=3$ ,  $p^n=2^3$ : prime divisors of 7 ( $=8-1$ ) is only  $q=7$ . Hence any  $g(x) \in GF(2^3) \neq 1$  is a generator.  
E.g.  $g(x)=x$ :  $x^1=x$ ,  $x^2=x^2$ ,  $x^3=x+1$ ,  $x^4=x^2+x$ ,  $x^5=x^2+x+1$ ,  $x^6=x^2+1$ ,  $x^7=1$

Operations on polynomials in  $GF(2^n)$  corresponds to operations on their coefficients in  $GF(2)$ :

- **Addition:**  $0+0 \bmod 2 = 0$ ;  $0+1 \bmod 2 = 1$ ;  $1+0 \bmod 2 = 1$ ;  $1+1 \bmod 2 = 0$

Hence  $0+0 = 0$ ;  $0+1 = 1$ ;  $1+0 = 1$ ;  $1+1 = 0$

**This is equivalent to a XOR** between coefficients.

- **Subtraction:**  $a-b = a+(-b) \bmod 2$

**Opposite:**  $-a = 2-a \bmod 2$ :  $-0 = 2-0 \bmod 2 = 0$ ;  $-1 = 2-1 \bmod 2 = 1$

Hence  $0+(-0) = 0$ ;  $1+(-1) = 0$ ; in general  $a+(-a) = 0$

**Still equivalent to a XOR** between coefficients.

Therefore subtraction and addition are coincident operations.

Hence any polynomial in  $GF(2^n)$  coincides with its opposite.

- **Product:** ordinary product between polynomials and, **if the resulting polynomial degree is  $\geq$  irreducible polynomial degree**, then **reduction** by the irreducible polynomial (the same as modulo operations).
- **Division:**  $a/b = a*(1/b)$  (where  $1/b$  is the **Multiplicative inverse** of  $b$ )

- Addition in  $GF(2^3)$ :  
 $(110) \text{ XOR } (101) = (011)$   
 $(x^2+x) + (x^2+1) = \mathbf{x+1}$
- Product in  $GF(2^3)$ :  
 $(110) \cdot (101) \text{ mod } (x^3+x+1)$   
 $(x^2+x) \cdot (x^2+1) \text{ mod } (x^3+x+1) = (x^4+x^3+x^2+x) \text{ mod } (x^3+x+1)$

The degree (4) of product polynomial is greater than the degree (3) of the irreducible polynomial  $\rightarrow$  **reduction operation**

A reduction is an ordinary polynomial division where the irreducible polynomial is the divisor.

Reduction by the irreducible polynomial  $x^3+x+1$ :

$$x^4+x^3+x^2+x = (x+1)(x^3+x+1) + (1)$$

Therefore the product is  $\mathbf{1} = (001)$

- The greatest common divisor of two polynomials is a polynomial of the highest possible degree that is a factor of both the two original polynomials (the concept is analogous to the greatest common divisor of two integers).
- Similarly, the Polynomial Extended Euclidean Algorithm computes the multiplicative inverse in algebraic field extensions and, in particular, in finite fields of non prime order ( $p^n$  is never prime).
- Polynomial Extended Euclidean Algorithm computes the polynomial greatest common divisor and the coefficients of Bézout's identity of two univariate polynomials.

**If  $a$  and  $b$  are two nonzero polynomials, then the Polynomial Extended Euclidean Algorithm produces the unique pair of polynomials  $(s, t)$  such that  $as+bt=\text{gcd}(a,b)$**



- Modular Arithmetic
- **Generating Prime Numbers**
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- The number of primes  $< x$  is given (with good approximation) by  $x/\ln x$ .
- $x/\ln x$  is monotonically increasing for  $x \rightarrow \infty$  (primes are infinite).
- Requirements for Strong Primes:
  - $\gcd(p-1, q-1)$  is small (important if the key is the product of  $p$  with  $q$ )
  - Both  $p-1$  and  $q-1$  have large prime factors  $p'$ ,  $q'$
  - Also  $p'-1$  and  $q'-1$  have large prime factors
  - $(p-1)/2$  and  $(q-1)/2$  are both prime
- **Mersenne Primes** are primes of the form  $M_n = 2^n - 1$  for some integer  $n$ .
- **Pseudo-Mersenne Primes** are *primes* of the form  $2^n - k$ , where  $k$  is an integer for which  $0 < |k| < 2^{(n/2)}$ . **Pseudo-Mersenne and Mersenne primes are useful in cryptography because they admit fast modular reduction.**
- **Safe primes** are *primes* of the form  $2p + 1$ , where  $p$  is also a prime ( $p$  is denoted Sophie Germain prime). These primes are "safe" because of their relationship to strong primes: for a safe prime  $q = 2p + 1$ , the number  $q - 1 = 2p$  has the large prime factor  $p$  and so a safe prime  $q$  meets part of the criteria for a Strong Prime.

- AKS Algorithm (Agrawal Kayal Saxena, 2002) is a **deterministic** primality proving algorithm which determines whether a number is prime or composite within **polynomial time**.
- It is applicable to any integer.
- It is not pre-conditioned by any conjecture.

$$O((\log_2 n)^{12})$$

The AKS primality test is based upon the following theorem: **An integer  $n (\geq 2)$  is prime if and only if the polynomial congruence relation**

$$(x + a)^n = (x^n + a) \pmod{n}$$

**holds for  $a, n$  such that  $\text{GCD}(a, n) = 1$  ( $a$  coprime to  $n$ );  $x$  is a free variable.**

*The authors received the 2006 Gödel Prize and the 2006 Fulkerson Prize for this work.*

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- A **random** number is a number generated by a Random Function (RF) that **cannot** be predicted with any better probability than a random probability distribution before it is generated: e.g. if the number is generated within the range  $[0, N-1]$ , then its value cannot be predicted with any better probability than  $1/N$ .
  - A **Random Function (RF)** is a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}^n$  constructed as follows: for each  $x \in \{0, 1\}^n$  pick a random  $y \in \{0, 1\}^n$  and let  $f(x)=y$ .
- A **pseudo-random** number is a number generated by a Pseudo Random Function (PRF) that in line of principle **can** be predicted before it is generated.
  - A **Pseudo-Random Function (PRF)** is a function  $f_s$  such that  $\Pr(\{s \leftarrow \{0, 1\}^n: f_s\}_n) - \Pr(\{f \leftarrow RF_n: f\}_n) \leq \epsilon(n)$  is arbitrarily small.  
Hence  $f_s$  defined as the uniform sampling of  $s$  from the set  $\{0, 1\}^n$  and  $f$  defined as the result of a uniform sampling from the set of  $RF_n$  are *equivalent*, i.e. probability distributions differ for an arbitrarily small  $\epsilon(n)$ .
  - PRF is realized as a **deterministic algorithm** initiated by a **single sample (seed)** picked from a **high entropy process**: refer to NIST Special Publication 800-90A / 90B for the requirements of entropy and the related tests.

A Cryptographically Secure PRNG (CSPRNG) is a PRNG but the reverse is not necessarily true. Requirements are both statistic and cryptologic.

### Statistic Test:

- Every CSPRNG should satisfy the **next-bit test**: given the first  $i$  bits of a sequence of  $k$  bits, there is no polynomial-time algorithm that can predict the  $(i+1)$ th bit with probability of success better than 50%.

### Cryptologic Test:

- After an attacker has observed “many” previous outputs from the PRNG:
  - It is **computationally infeasible** to compute the internal state of the PRNG.
  - It is **computationally infeasible** to compute the next output of the PRNG.

### Cryptographically Secure PRNGs

- [RSA Generator](#)
- [Blum-Micali Generator](#)
- [Blum-Blum-Shub Generator](#)

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- Currently public key systems (e.g. RSA) are based on finite field  $GF(p)$ ,  $p$  prime, with minimum key length  $k= 2048$  bits,  $p \approx 2^{2048}$  and  $f_k^{-1}(p)$  its reverse cryptographic problem.
- Neal Koblitz in 1985 observed that public key systems embedded in the group of points on an elliptic curve over a finite field  $GF(p')$  are very appealing from a cryptologic point of view: if  $g^{-1}(p')$  is the reverse cryptographic problem and  $p' \ll p$  then  $O(g_{k'}^{-1}(p')) \sim O(f_k^{-1}(p))$  i.e. the same security level is reached using key lengths **much shorter** (therefore more practical) than those in other public key systems.
- If  $p' = p$  elliptic curve cryptosystems result harder to “crack” than others because  $O(g_k^{-1}(p)) \gg O(f_k^{-1}(p))$ .
- Elliptic curve cryptosystems involve elementary arithmetic operations that make it easy to implement (in either hardware or software).

### Elliptic Curve Cryptosystems

N.Koblitz, Mathematics of Computation, (48), pp. 203-209, 1987

<https://www.ams.org/journals/mcom/1987-48-177/S0025-5718-1987-0866109-5/>



- Generalized Weierstrass Equation of elliptic curves using affine coordinates (x,y):

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

with  $a_i, x, y \in GF(p)$ ,  $p$  prime, or  $\in GF(2^n)$ ,  $n$  integer

- For cryptography are of interest the following EC families:

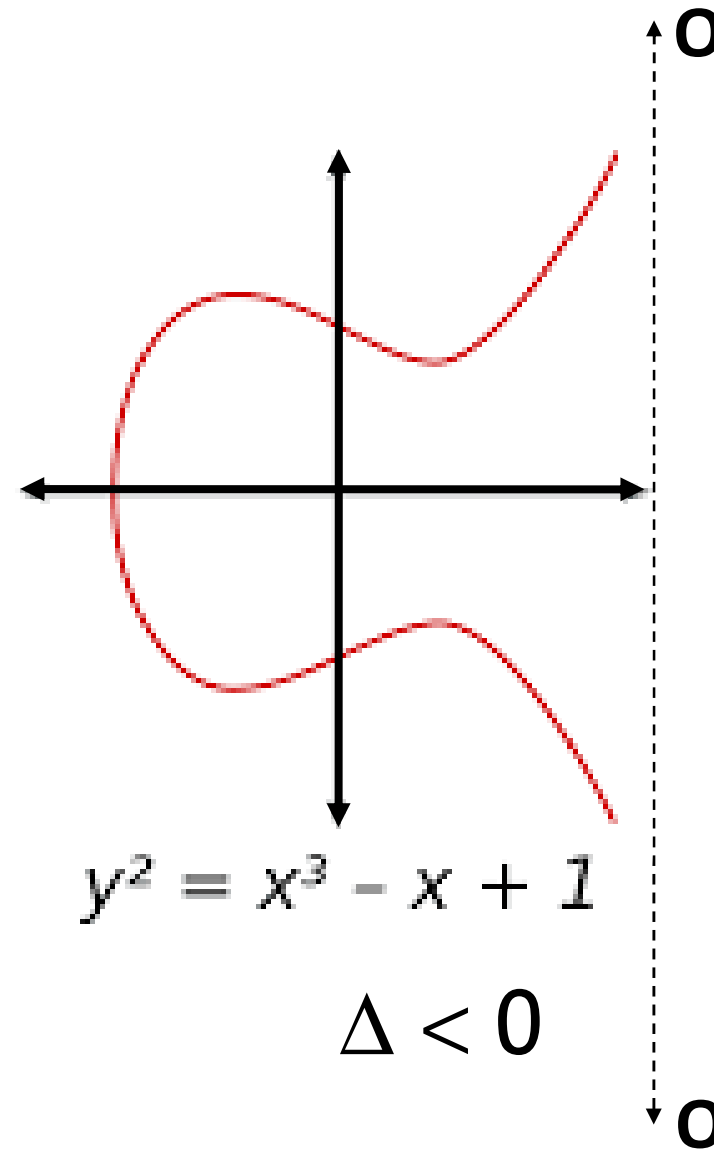
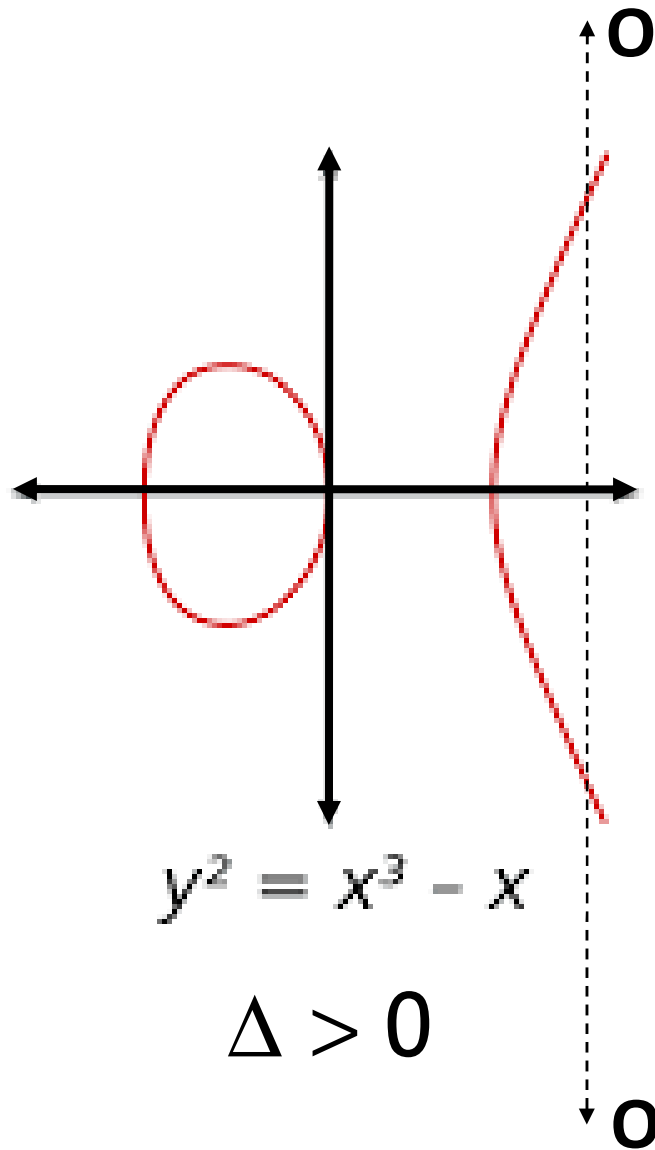
$$y^2 = x^3 + ax + b \quad a_1=a_2=a_3=0, \mathbf{a}=a_4, \mathbf{b}=a_6, x, y \text{ in } GF(p)$$

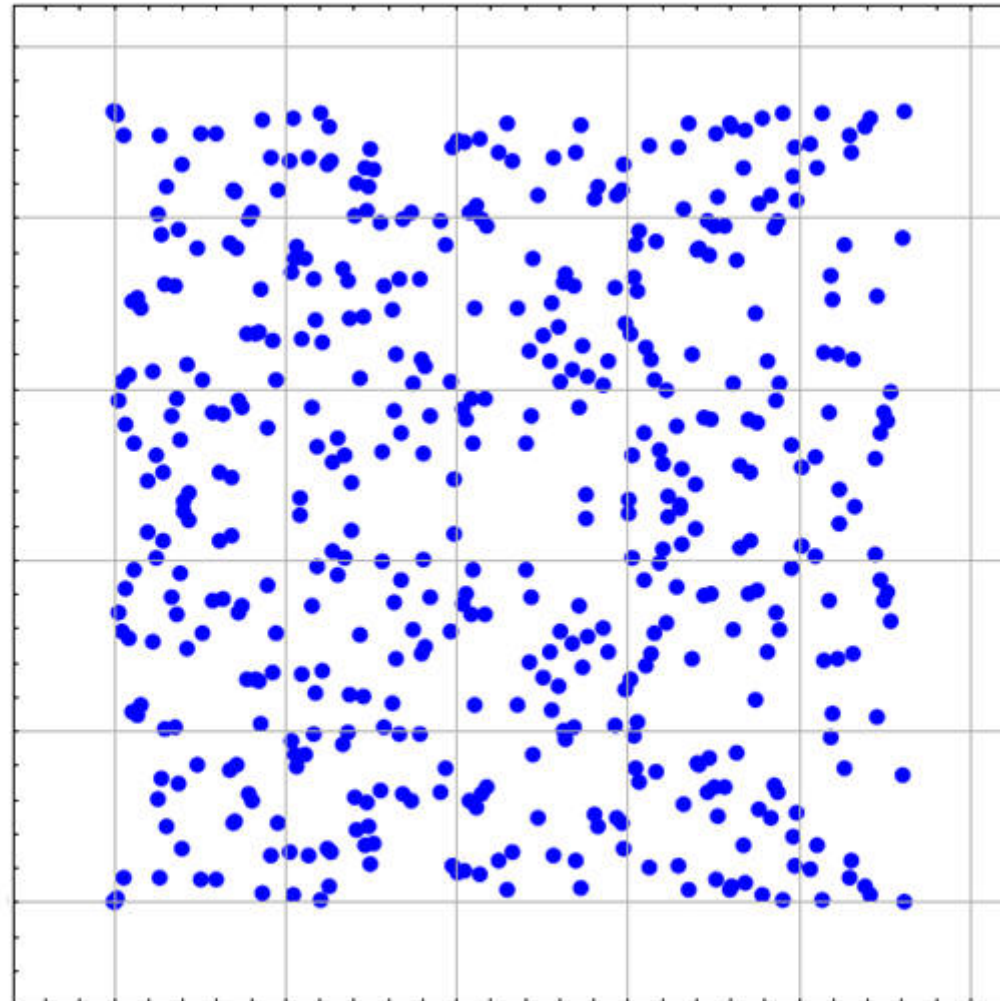
$$y^2 + xy = x^3 + ax + b \quad a_1=1, a_2=a_3=0, \mathbf{a}=a_4, \mathbf{b}=a_6, x, y \text{ in } GF(2^n)$$

- Be  $\Delta = -16(4a^3 + 27b^2) \neq 0$
- Be  $\text{char}(GF()) \neq 2$  and  $\text{char}(GF()) \neq 3$  (non-singular EC to avoid multiples roots)

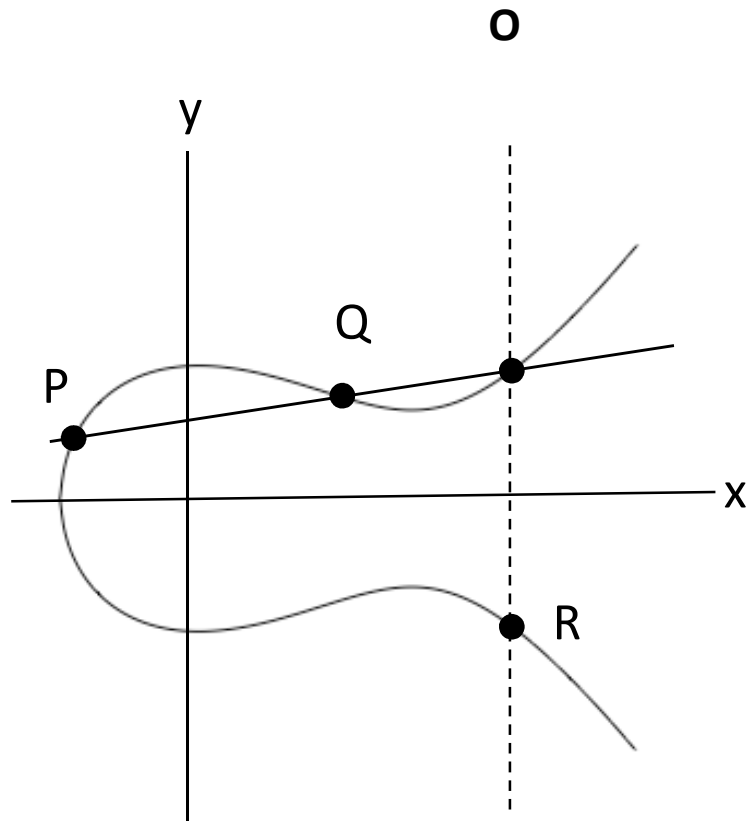
- Points of an Elliptic Curve  $E$  with coefficients in  $GF()$  or  $EC(GF())$  constitute a finite **abelian additive cyclic group**, with the operation  $+$  (“Point Addition”) and where the  **$\mathbf{O}$  (“zero”) element (identity)** is the so called “**Point at Infinity**”.
- Therefore given points  $P, Q, R$  in  $EC(GF())$ :
  - $\forall P, Q \in EC / P + Q \in EC$  (**closure**)
  - $(P + Q) + R = P + (Q + R)$  (**associativity**)
  - $P + \mathbf{O} = \mathbf{O} + P = P$  (**identity element**)
  - there exists  $(-P)$  such that  $-P + P = P + (-P) = \mathbf{O}$  (**inverse element**)
- As any cyclic group, at least one generator  $G$  (or base point) exists in EC group.
- **ord( $G$ )**: as any additive group,  $\text{ord}(G) = n$  if  $n$  is the smallest integer such that  $G + G + \dots$  (  $n$  times)  $\dots + G = \mathbf{nG} = \mathbf{O}$ .
- **EC elements (EC points)** can be generated applying iteratively Point Addition to the generator  $G$ :  $\{G, 2G, 3G, \dots, (n-1)G\} \cup \mathbf{O}$ .

**Observation:** EC are natively defined over the projective plane with homogeneous coordinates  $x, y, z$  (not over the affine plane with coordinates  $x/z^\alpha, y/z^\beta$ ) where according to the specific values for  $\alpha$  and  $\beta$ ,  **$\mathbf{O}$  is the point  $(*, *, \mathbf{0})$**  outside the affine plane: therefore  **$\mathbf{O}$**  is an effective point of EC and in affine representations  **$\mathbf{O}$**  must be added by construction.





- Consider  $E: y^2 = x^3 + 2x + 3$  with coefficient in  $GF(5)$   
 $x = 0 \Rightarrow y^2 = 3 \Rightarrow$  no solution ( $0*0=0, 1*1=1, 2*2=4, 3*3=4, 4*4=1$ )  
 $x = 1 \Rightarrow y^2 = 1 \Rightarrow y = 1,4$   
 $x = 2 \Rightarrow y^2 = 0 \Rightarrow y = 0$   
 $x = 3 \Rightarrow y^2 = 1 \Rightarrow y = 1,4$   
 $x = 4 \Rightarrow y^2 = 0 \Rightarrow y = 0$
- Then points on the Elliptic Curve  $EC(GF(5))$  are by enumeration:  
 $\{(1,1), (1,4), (2,0), (3,1), (3,4), (4,0)\} \cup \mathbf{O}$   
**Therefore the points in  $EC(GF(5))$  are 6 plus  $\mathbf{O}$ .**  
**In general the exact computation of the points in  $EC(GF())$  is a difficult task.**
- **Hasse Theorem:**  $\text{order}(EC(GF())) = \text{number of points in } EC(GF())$  is in the range:  
 $[q+1-q^{1/2}, q+1+q^{1/2}] \approx q$  if  $q \gg 1$  where  $q=p^n$ ,  $p$  prime,  $n$  integer



- Consider elliptic curve  
E:  $y^2 = x^3 - x + 1$  over  $\mathbb{R}$

- If P and Q are on E,  
the point addition

$$\mathbf{R = P + Q}$$

is defined as shown in picture

- Special case of Point Addition is Point Doubling ( $Q = P = G$ ):  $R=G+G=2G$
- Iterative Point Additions is Scalar Multiplication:  $R=G+G+\dots G=nG$
- Scalar Multiplication is energy and time consuming: these are some algebraic [tricks](#) to minimize computations.

$$y^2 = x^3 + ax + b$$

- Point Addition:  $R=P+Q$ 
$$x_R = \lambda^2 - x_P - x_Q$$
$$y_R = \lambda(x_P - x_R) - y_P$$
$$\lambda = \frac{y_Q - y_P}{x_Q - x_P}$$
- Point Doubling:  $R=2P$ 
$$x_R = \lambda^2 - 2x_P$$
$$y_R = \lambda(x_P - x_R) - y_P$$
$$\lambda = \frac{3x_P^2 + a}{2y_P}$$

**Guide to Elliptic Curve Cryptography**

D. Hankerson, A. Menezes, S. Vanstone, Ed. Springer, ISBN 0-387-95273-X, 2004

$$y^2 + xy = x^3 + ax + b$$

- Point Addition:  $R=P+Q$ 
$$x_R = \lambda^2 + \lambda + x_P + x_Q + a$$
$$y_R = \lambda(x_P + x_R) + x_R + y_P$$
$$\lambda = \frac{y_Q + y_P}{x_Q + x_P}$$
- Point Doubling:  $R=2P$ 
$$x_R = \lambda^2 + \lambda + a$$
$$y_R = x_P^2 + \lambda x_R + x_R$$
$$\lambda = x_P + \frac{y_P}{x_P}$$

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- Projective coordinates eliminate expensive **modular inversions** at the cost of cheaper modular multiplications and squares.
- Formulas in projective coordinates can be derived by first converting the points to affine coordinates, then using the formulas for Point Addition and Point Doubling to add / double the affine points, and finally clearing denominators.
- Lopez-Dahab Coordinates transformations
  - From affine to projective:  $(x,y) \rightarrow (x,y,1)$
  - From projective to affine:  $(x,y,z) \rightarrow (x/z, y/z^2)$
- Jacobi Coordinates transformations
  - From affine to projective:  $(x,y) \rightarrow (x,y,1)$
  - From projective to affine:  $(x,y,z) \rightarrow (x/z^2, y/z^3)$

$$y^2z = x^3 + axz^4 + bz^6$$

$$O = (0,1,0)$$

- Point Addition:  $R=P+Q$

$$A = x_Q z_P^2$$

$$B = y_Q z_P^2$$

$$C = A - x_P$$

$$D = B - y_P$$

$$x_R = D^2 - (C^3 + 2x_P C^2)$$

$$y_R = D(x_P C^2 - x_R) - y_P C^3$$

$$z_R = z_P C$$

- Point Doubling:  $R=2P$

$$A = 4x_P y_P^2$$

$$B = 8y_P^4$$

$$C = 3(x_P - z_P^2)(x_P + z_P^2)$$

$$D = -2A + C^2$$

$$x_R = D$$

$$y_R = C(A - D) - B$$

$$z_R = 2y_P z_P$$

### Software implementation of the NIST elliptic curves over prime fields

M. Brown, D. Hankerson, J. Lopez Hernandez, A. Menezes, *Topics in Cryptology, CT-RSA, 2001(LNCS 2020)*, 250–265, 2001

$$y^2 + xyz = x^3z + ax^2z^2 + bz^4$$

$$O = (1,0,0)$$

- Point Addition:  $R=P+Q$

$$A = y_Q z_P^2 + y_P$$

$$B = x_Q z_P + x_P$$

$$C = z_P B$$

$$D = B^2 (C + az_P^2)$$

$$x_R = A^2 + D + AC$$

$$y_R = AC(x_R + x_Q z_R) + z_R (x_R + y_Q z_R)$$

$$z_R = C^2$$

- Point Doubling:  $R=2P$

$$x_R = x_P^4 + bz_P^4$$

$$y_R = bz_P^4 z_R + x_R (az_R + y_P^2 + bz_P^4)$$

$$z_R = x_P^2 z_P^2$$

### Software implementation of elliptic curve cryptography over binary fields

D. Hankerson, J. Lopez Hernandez, A. Menezes, *Cryptographic Hardware and Embedded Systems—CHES 2000(LNCS 1965)*, 1–24, 2000.

- Given  $EC(GF(p))$ ,  $p$  prime, the Domain Parameter associated to  $E$  is the 6-pla defined as follows
  - the prime  $p$
  - the coefficients  $a$  and  $b$ , with  $a, b \in GF(p)$
  - the generator  $G$
  - the order  $r$  of  $G$
  - the co-factor  $h$  defined as  $\#E(GF(p))/r$
- Given  $EC(GF(2^m))$ ,  $m$  integer, the Domain Parameter associated to  $E$  is the 6-pla defined as follows
  - the number  $m$
  - the coefficients  $a$  and  $b$ , with  $a, b \in GF(2^m)$
  - the generator  $G$
  - the order  $r$  of  $G$
  - the co-factor  $h$  defined as  $\#EC(GF(2^m))/r$

The co-factor determines if the generator  $G$  and EC points refer to the group ( $h=1$ ) or to a subgroup ( $h > 1$ ) of order  $r$ .

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- DLP: Given  $y, g$  in  $GF(p)$ ,  $g$  generator, solve:  
 $y = g^x \bmod p$  for an integer  $x$  in  $[1, p-1]$  ( $x = \log_g(y)$ ).
- ECDLP: Given  $Q, P$  in  $EC(GF(p))$ ,  $P$  generator, solve  $Q = xP \bmod p$  for an integer  $x$  in  $[1, p-1]$ . The lower bound complexity for both DLP and ECDLP is (Pohlig-Hellman algorithm) is  $O(\exp(2 \ln p \cdot \ln \ln p)^{1/2})$  in case of  $p$  is a "safe prime"
  - $O(p^{1/2})$  in case of  $p$  is not a "safe prime"
- RSA: Given  $c, m$  in  $Z(n)$ ,  $n=pq$ ,  $p, q$  primes,  $2 < e < n$ , solve  $c = m^e \bmod n$  for an integer  $m$ . The lower bound complexity is  $O(\exp((\ln n)^{1/3} \cdot (\ln \ln n)^{2/3}))$ .

Try to replace  $p = 2^{\text{ECC\_KEY\_SIZE}}$  and  $n = 2^{\text{RSA\_KEY\_SIZE}}$  values listed in the NIST table into the expressions for complexity above: the same complexity is returned!

$$O(\exp(2 \ln p \cdot \ln \ln p)^{1/2}) \sim O(\exp((\ln n)^{1/3} \cdot (\ln \ln n)^{2/3})).$$

NIST guidelines for public key sizes for AES

| ECC KEY SIZE<br>(Bits) | RSA KEY SIZE<br>(Bits) | KEY SIZE<br>RATIO | AES KEY SIZE<br>(Bits) |
|------------------------|------------------------|-------------------|------------------------|
| 163                    | 1024                   | 1 : 6             |                        |
| 256                    | 3072                   | 1 : 12            | 128                    |
| 384                    | 7680                   | 1 : 20            | 192                    |
| 512                    | 15 360                 | 1 : 30            | 256                    |

Supplied by NIST to ANSI X9F1

- The Certicom ECC Challenge:  
<https://www.certicom.com/content/certicom/en/the-certicom-ecc-challenge.html>
- Certicom Corp. has issued a series of ECC challenges:
  - Level I involves fields of 109-bit and 131-bit sizes.
  - Level II includes **163, 191, 239, 359-bit sizes**.All Level II challenges are currently believed to be computationally infeasible.
- Cryptanalysis of cyber attacks against DLP and ECDLP requires very advanced algebraic tools out of the scope of this course.

**An Improved Algorithm for Computing Logarithms over  $GF(p)$  and its Cryptographic Significance**

S. Pohlig and M. Hellman, IEEE Transactions on Information Theory (24): 106–110, 1978.

**Recent progress on the elliptic curve discrete logarithm problem**

S. Galbraith, P. Gaudry, Designs, Codes and Cryptography, Springer Verlag, 2016, 78 (1), pp.51-72.

**On the feasibility of an ECDLP algorithm**

S. Grebnev, HSE Tikhonov Moscow Institute of Electronics and Mathematics (MIEM HSE), 2018

**Some remarks on the elliptic curve discrete logarithm problem**

Yu. Nesterenko, Mat. Vopr. Kriptogr., 2016, Vol. 7, Issue 2, 115–120

**Reliability of RSA Algorithm and its Computational Complexity**

M. Karpinsky, Y. Kinakh, International Scientific Journal of Computing, 2003, Vol. 2, Issue 3, 119-122

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- Let  $G_1$  and  $G_2$  be **additive cyclic groups of order  $n$ ,  $n$  prime**
- Let  $G_3$  be a **multiplicative cyclic group of the same order  $n$ .**

The pairing  $\hat{e}$  is the map:  $\hat{e}: G_1 \times G_2 \rightarrow G_3$

- **Bilinearity**

$$\hat{e}(P+P',Q)=\hat{e}(P,Q) \hat{e}(P',Q) \text{ for any } P,P' \in G_1, Q \in G_2$$

$$\hat{e}(P,Q+Q')=\hat{e}(P,Q) \hat{e}(P,Q') \text{ for any } P \in G_1, Q,Q' \in G_2$$

- **Non-Degeneracy**

For any non-identity point  $P \in G_1$  there is a  $Q \in G_2$  such that  $\hat{e}(P,Q) \neq 1$

For any non-identity  $Q \in G_2$  there is a  $P \in G_1$  such that  $\hat{e}(P,Q) \neq 1$

- In case  $G_2=G_1=E$  (**pairing on elliptic curves**),  $n$  is the order of the generator  $G$  of  $E$ . In this case the pairing becomes:  $\hat{e}: E \times E \rightarrow G_3$

- Weil pairing is a mapping from a couple of points on  $E$  order  $r$  (pairing) over  $GF()$  to the  $r$ -th root of unity over  $GF()$ .
- Let  $G_1 = G_2 = EC(GF())$  be an elliptic curve defined over  $GF()$ .
- Let  $P, Q \in EC(GF())$  be points of order  $r$ ,  $r$  prime, hence  $rP=O$  and  $rQ=O$ .
- Let  $\mu_r$  be a set of  $r$  elements in  $GF()$
- Weil Pairing  $\hat{e}_r$  is a bilinear, non-degenerate, alternating mapping of the form:

$$\hat{e}_r : (P, Q) \rightarrow \mu_r \quad \mu_r = \{x \in GF() \mid x^r = 1\}$$

- 1)  $\hat{e}_r(P_1+P_2, Q) = \hat{e}_r(P_1, Q) \hat{e}_r(P_2, Q)$  (bilinearity)  
 $\hat{e}_r(P, Q_1+Q_2) = \hat{e}_r(P, Q_1) \hat{e}_r(P, Q_2)$  (bilinearity)  
 1a)  $\hat{e}_r(mP, Q) = \hat{e}_r(P, Q)^m = \hat{e}_r(P, mQ)$ ,  $\hat{e}_r(P, nQ) = \hat{e}_r(P, Q)^n = \hat{e}_r(nP, Q)$ ,  
 1b)  $\hat{e}_r(mP, nQ) = \hat{e}_r(P, Q)^{mn} = \hat{e}_r(nP, mQ)$
- 2)  $\hat{e}_r(P, Q) = 1$  for all  $Q$  iff  $P = O$  and for all  $P$  iff  $Q = O$  (non degeneracy)
- 3)  $\hat{e}_r(P, Q) = \hat{e}_r(Q, P)^{-1}$  (alternation)  
 $\hat{e}_r(P, P) = \hat{e}_r(P, P)^{-1}$

**Sur les fonctions algébriques à corps de constantes fini**

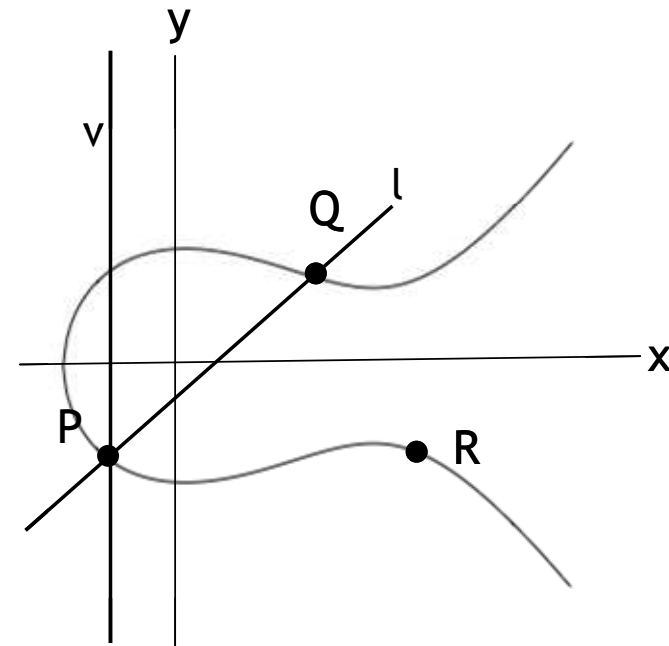
A. Weil, Les Comptes rendus de l'Académie des sciences, **210**: 592–594, MR 0002863, 1940

ISO/IEC 14888-3:2018 recommends the construction of a Weil Pairing  $e_r$  according [Miller's algorithm](#):

$$e_r(P, Q) = \frac{f(Q + R)g(-R)}{f(R)g(P - R)}$$

$$R \notin \{O, P, -Q, P - Q\}$$

- $e_r$  is independent of choice of the [functions  \$f\$  and  \$g\$](#)  and of the point  $R$  in  $E$
- In Miller's algorithm  $f$  is the sloped line  $l$  through  $P$  and  $Q$  and  $g$  is the vertical line  $v$  through  $P$ .



### The Weil Pairing, and Its Efficient Calculation

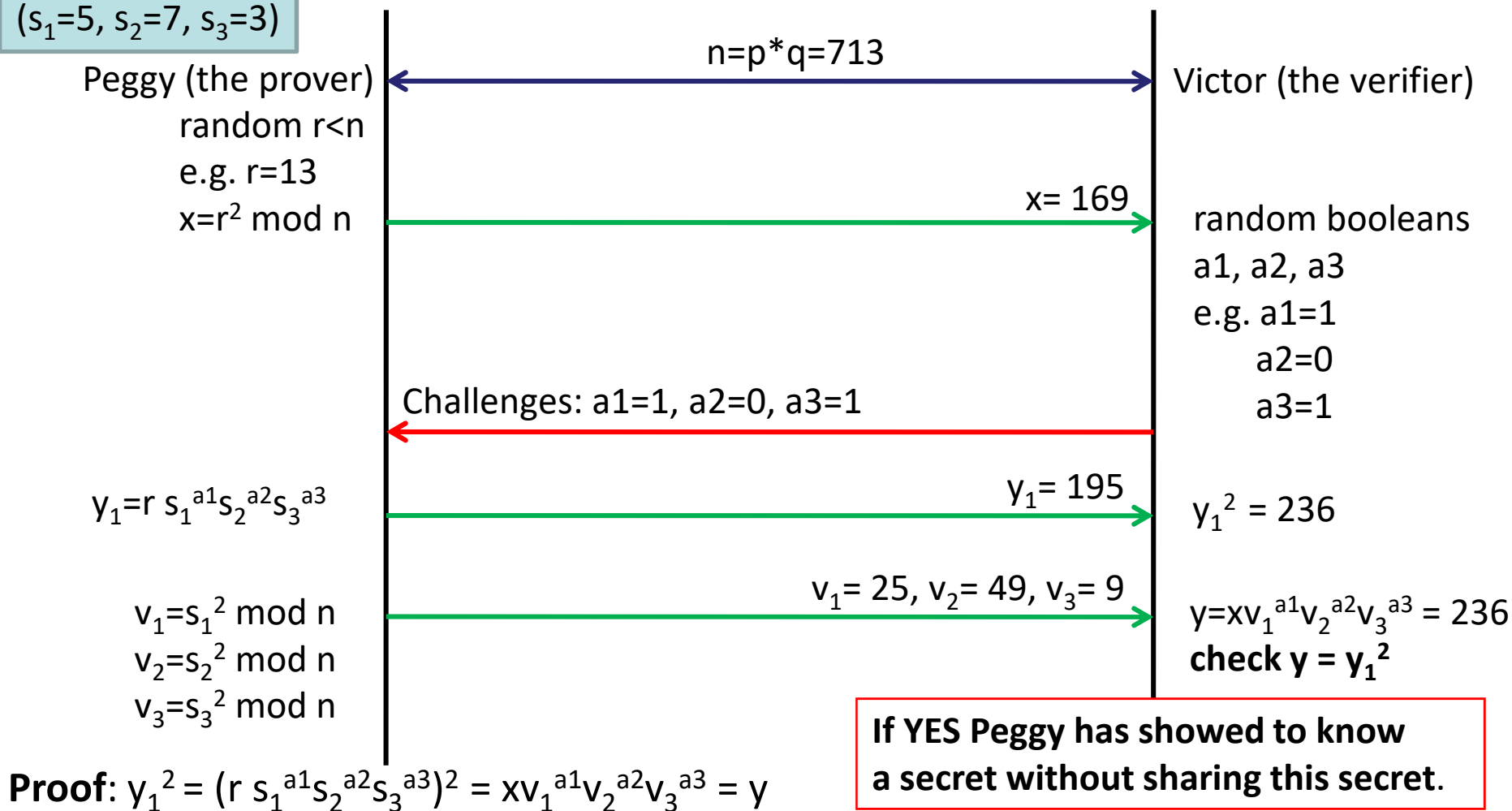
V. S. Miller, Journal of Cryptology 17(4):235-261, 2004

- Modular Arithmetic
- Generating Prime Numbers
- Generating Pseudo-random Numbers
- Elliptic Curve Algebra
- Discrete Logarithm Problem and its EC version
- Pairings on Elliptic Curves
- Zero Knowledge Proof

# Zero Knowledge Proof

Peggy's secret is  
( $s_1=5, s_2=7, s_3=3$ )

$p, q$  primes of the form  $4k+3$ ,  $k$  any integer, e.g.  $p=31$  ( $4 \times 7+3$ ),  $q=23$  ( $4 \times 5+3$ )



## Zero Knowledge Proofs of Identity

U. Feige, A. Fiat, A. Shamir, Journal of Cryptology 1(2):77-94, 1988

BACKUP SLIDES

Bayes Th.

$$\rightarrow H(K, P, C) = H(C | P, K) + H(P, K) = H(P, K)$$

Bayes Th.

$$\rightarrow H(K, P, C) = H(P | C, K) + H(C, K) = H(C, K)$$

 $\leftarrow =0: \text{known } P \text{ and } K, C = \text{Enc}_K(P)$ 
 $\leftarrow =0: \text{known } C \text{ and } K, P = \text{Dec}_K(C)$ 

Thus

$$\text{Bayes Th. } H(C, K) = H(P, K)$$

$$\rightarrow H(C, K) = H(K | C) + H(C)$$

$$H(P, K) = H(P) + H(K) \quad \leftarrow P \text{ and } K \text{ statistically independent}$$

$$H(K | C) + H(C) = H(P) + H(K)$$

$$H(K | C) = H(P) + H(K) - H(C)$$

q.e.d.



1<sup>st</sup> Shannon Theorem (on source coding)

$$H(P) = \lim_{n \rightarrow \infty} \frac{H(P_n)}{n} \approx \frac{H(P_n)}{n} \leq \log_2 |P|$$

$$H(C) = \lim_{n \rightarrow \infty} \frac{H(C_n)}{n} \approx \frac{H(C_n)}{n} \leq \log_2 |C|$$

$P_n, C_n$  the random variables representing the n-gram (or n-sized bitstrings or n-blocks) of plaintext and ciphertext.

$H(P_n), H(C_n)$  entropy of n-gram of plaintext and ciphertext.

$H(P), H(C)$  entropy of the plaintext and ciphertext.

$$R_p = 1 - \frac{H(P)}{\log_2 |P|} = \frac{\log_2 |P| - H(P)}{\log_2 |P|}$$

$R_p$  defines the redundancy of plaintext

↓

$$H(K | C_n) = H(P_n) + H(K) - H(C_n) \quad \text{from Theorem on Key Equivocation}$$

$$\begin{matrix} \uparrow & & \uparrow \\ H(P_n) \approx nH(P) & & H(C_n) \leq n \log_2 |C| \end{matrix}$$

$$H(K | C_n) \geq nH(P) + H(K) - n \log_2 |C| \geq H(K) - nR_p \log_2 |P|$$

with large n

q.e.d.

$$\begin{matrix} \uparrow & \nearrow & \uparrow \\ H(P) = \log_2 |P| - R_p \log_2 |P| & & |P| = |C| \end{matrix}$$

**lower bound**

from the definition of  $R_p$   $e_k()$  is an invertible function





1. **Barrett Reduction:** integer modular reductions without divisions

$$a \bmod n \equiv a - \left\lfloor \frac{1}{n} a \right\rfloor n$$

2. **Square and Multiply algorithm** (to compute exponentiations)

Start  $p=1$

Scan the exponent translated into binary from MSB to LSB

$$1 \rightarrow ()^2 a \quad 0 \rightarrow ()^2$$

$$p = a^{19} = a^{(10011)} = ((((((1)^2 a)^2)^2)^2 a)^2 a = (a^8 a)^2 a = a^{19}$$



3. **Double and Add Algorithm** (to compute scalar multiplication in ECC)  
This is the corresponding algorithm for EC of the Square and Multiply Algorithm

Start  $P=O$

Scan the scalar translated into binary from MSB to LSB

$$1 \rightarrow 2() + G \quad 0 \rightarrow 2()$$

$$\begin{aligned} P = 19G &= (10011)G = 2(2(2(2(2(O) + G))) + G) + G = \\ &= 2(8G + G) + G = 19G \end{aligned}$$

4. **Shamir's Trick:** optimizes the computation of the form  $aP+bQ$ , where  $a, b$  are integers and  $P, Q$  are two points on an elliptic curve.

A straightforward implementation requires two scalar multiplications and a point addition.

Shamir's trick allows to compute the above value at a cost close to one scalar multiplication.

If the scanned bit positions are starting from MSB to LSB

$$(a_i = 1, b_i = 0) \rightarrow 2(\cdot) + P$$

$$(a_i = 0, b_i = 1) \rightarrow 2(\cdot) + Q$$

$$(a_i = 0, b_i = 0) \rightarrow 2(\cdot)$$

$$(a_i = 1, b_i = 1) \rightarrow 2(\cdot) + (P+Q)$$

Example:  $37P + 22Q$

$$\begin{array}{rcl} 37 & = & 1 \ 0 \ 0 \ 1 \ 0 \ 1 \\ 22 & = & 0 \ 1 \ 0 \ 1 \ 1 \ 0 \end{array}$$

Example:  $37P + 22Q$

$$\begin{array}{rcl} 37 & = & 1 \ 0 \ 0 \ 1 \ 0 \ 1 \\ 22 & = & 0 \ 1 \ 0 \ 1 \ 1 \ 0 \end{array}$$

P

Example:  $37P + 22Q$

$$37 = \begin{matrix} 1 & 0 & 0 & 1 & 0 & 1 \end{matrix}$$

$$22 = \begin{matrix} 0 & 1 & 0 & 1 & 1 & 0 \end{matrix}$$

P

2P

2P+Q

Example:  $37P + 22Q$

$$37 = \begin{matrix} 1 & 0 & 0 & 1 & 0 & 1 \end{matrix}$$

$$22 = \begin{matrix} 0 & 1 & 0 & 1 & 1 & 0 \end{matrix}$$

$P$

$2P$

$2P+Q$

$4P + 2Q$

Example:  $37P + 22Q$

$$37 = \begin{matrix} 1 & 0 & 0 & 1 & 0 & 1 \end{matrix}$$

$$22 = \begin{matrix} 0 & 1 & 0 & 1 & 1 & 0 \end{matrix}$$

$$P \qquad 9P+5Q$$

$$2P$$

$$2P+Q$$

$$4P + 2Q$$

$$8P + 4Q$$



Example:  $37P + 22Q$

$$\begin{array}{rcl} 37 & = & 1 \ 0 \ 0 \ 1 \ 0 \ 1 \\ 22 & = & 0 \ 1 \ 0 \ 1 \ 1 \ 0 \end{array}$$

$$P \qquad 9P+5Q$$

$$2P \qquad 18P+10Q$$

$$2P+Q \qquad 18P+11Q$$

$$4P + 2Q$$

$$8P + 4Q$$

Example:  $37P + 22Q$

$$\begin{array}{rcl} 37 & = & 1 \ 0 \ 0 \ 1 \ 0 \ 1 \\ 22 & = & 0 \ 1 \ 0 \ 1 \ 1 \ 0 \end{array}$$

$$P \qquad 9P+5Q$$

$$2P \qquad 18P+10Q$$

$$2P+Q \qquad 18P+11Q$$

$$4P + 2Q \qquad 36P + 22Q$$

$$8P + 4Q \qquad 37P + 22Q$$



- Let  $f$  be a rational function: zeros, poles, order of zeros and poles

$$f(x) = \frac{(x-1)^2}{(x+2)^3}$$

1 is a zero order (or multiplicity) 2  
-2 is a pole order 3  
 $\infty$  is a zero order 1

- The **divisor** of  $f$  is  $\text{div}(f) = 2(1) + 1(\infty) - 3(-2)$
- Degree of a divisor**  $\text{deg}(\text{div}(f))$  is defined as the sum of the orders of zeros and poles of  $f$ . In the example the degree is  $2+1-3=0$
- Divisors  $D_1$  and  $D_2$  are **equivalent** or  $D_1 \sim D_2$  if  $D_1 = D_2 + \text{div}(f)$  for some  $f$ .
- Support of a divisor**  $D$  is  $\text{supp}(D) = \{P \in E \mid n_P \neq 0\}$ .
- $D_1$  and  $D_2$  have **disjoint support** if  $\text{supp}(D_1) \cap \text{supp}(D_2) = \emptyset$ .
- Let  $f$  and  $g$  be rational functions defined on some field  $F$ . If  $\text{div}(f)$  and  $\text{div}(g)$  have disjoint support, then  $f(\text{div}(g)) = g(\text{div}(f))$  (Weil reciprocity).
- Let  $E$  be an elliptic curve. For any function  $f$  on  $E$  is  $\text{deg}(\text{div}(f)) = 0$  (theorem).

Let  $P, Q \in E(F_{q^k})$  and let  $D_P$  and  $D_Q$  be **degree zero divisors** with **disjoint supports** such that  $D_P \sim (P) - (O)$  and  $D_Q \sim (Q) - (O)$ .

There exist functions  $f$  and  $g$  such that  $(f) = rD_P$  and  $(g) = rD_Q$ .

The Weil Pairing is defined by: 
$$e_r(P, Q) = \frac{f(D_Q)}{g(D_P)}$$



Miller's algorithm to evaluate  $e_n = \langle P, Q \rangle_n$ 

1. Given  $P, Q$  with order  $n$ , choose  $R$  with order  $n$  and  $R \neq \infty, P, -Q, P-Q$ .
2. Write  $n$  in binary as  $n = (n_t \dots n_1 n_0)$ .
3. Set  $f = 1$ ,  $T = P$  and  $i = t$ .
4. If  $i < 0$  then go to step 5. Else do the following:
  - (a) Let  $l$  be the tangent line to  $E$  through  $T$ . Let  $v$  be the vertical line through  $2T$ .
  - (b) Set  $T = 2T$ .
  - (c) Set  $f = f^2 \frac{l(Q+R)v(R)}{v(Q+R)l(R)}$
  - (d) If  $n_i = 1$  then do the following:
    - i. Let  $l$  be the line through  $T$  and  $P$ , and  $v$  the vertical line through  $T + P$ .
    - ii. Set  $T = T + P$ .
    - iii. Set  $f = f \frac{l(Q+R)v(R)}{v(Q+R)l(R)}$
  - (e) Set  $i = i - 1$  and return to step 4
5. The desired value is  $\langle P, Q \rangle_n = f$ .

**The Weil Pairing, and Its Efficient Calculation**

V. S. Miller

J. Cryptology, vol. 17, pp. 235-261, 2004  
[pages.cs.wisc.edu/~cs812-1/miller04.pdf](http://pages.cs.wisc.edu/~cs812-1/miller04.pdf)

- based on the RSA one-way function:
  - $x_i = x_{i-1}^b \bmod n$   $i \geq 1$

where

- $x_0$  is the seed
- $n = p * q$ ,  $p$  and  $q$  are large primes
- $b$  s.t.  $\gcd(b, \phi(n)) = 1$  where  $\phi(n) = (p-1)(q-1)$
- **$n$  and  $b$  are public,  $p$  and  $q$  are secret**

Output

$$(x_1, x_2, \dots, x_k)$$

$$y_i = x_i \bmod 2$$

$$Y = (y_1 y_2 \dots y_k) \leftarrow \text{pseudo-random sequence of } K \text{ bits}$$

Euler's Generalization of Fermat's Little Theorem:

**If  $\gcd(a, n) = 1$  then  $a^{\phi(n)} \bmod n = 1$**  where  $\phi(n) = \{\#a < n \text{ s.t. } \gcd(a, n) = 1\}$



- based on the discrete logarithm one-way function:
  - let  $p$  be a prime then  $Z_p$  is a cyclic group
  - let  $x_0$  be a seed

$$x_i = g^{x_{i-1}} \bmod p \quad i \geq 1$$

Output

$$(x_1, x_2, \dots, x_k)$$

$$y_i = 1 \quad \text{if } x_i \geq (p-1)/2$$

$$y_i = 0 \quad \text{otherwise}$$

$$Y = (y_1 y_2 \dots y_k) \quad \leftarrow \text{pseudo-random sequence of } K \text{ bits}$$



- based on the squaring one-way function
  - Let  $p, q$  be primes with  $p \equiv q \equiv 3 \pmod{4}$
  - Let  $n = p \cdot q$
  - Let  $x_0$  be a seed

$$x_i = x_{i-1}^2 \pmod{n} \quad i \geq 1$$

Output

$$(x_1, x_2, \dots, x_k)$$

$$y_i = x_i \pmod{2}$$

$$Y = (y_1 y_2 \dots y_k) \leftarrow \text{pseudo-random sequence of } K \text{ bits}$$

